PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450



or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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CURRENT CORRESPONDE	NCE ADDRESS (Note: Legibly mark-u	p with any corrections or use Blo		Note: A certificate of Fee(s) Transmittal. The papers. Each addition have its own certificate	mailing can only be used fais certificate cannot be used al paper, such as an assignme of mailing or transmission.	or domestic mailings of the for any other accompanying ent or formal drawing, must
Lisa B. Kole BAKER & BOT 30 Rockefeller Pl New York, NY 1	aza	OIPE		Ce I hereby certify that the States Postal Service addressed to the Mai	rtificate of Mailing or Tran	smission g deposited with the United stst class mail in an envelope s above, or being facsimile
,		FEB 2 0 to		Kisa B.	Kole	(Depositor's name)
		E '	4		11/1	(Signature)
		The state	7	Our F	HM 2/2	Y/aY (Date)
APPLICATION NO.	FILING DATE	FIRST	NAMED INVEN	TOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/621,781	07/21/2000	<u> </u>	Paul B. Fisher		61150/JPW/JML	4970
TITLE OF INVENTION CANCER CELLS AND U	: NUCLEIC ACIDS COMPR USES THEREOF	ISING REGIONS OF T	THE RAT PEG-	3 PROMOTER THA	T DISPLAY ELEVATED I	EXPRESSION IN HUMAN
APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PU	BLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665		\$0	\$665	02/26/2004
EXA	AMINER	ART UNIT	CL	ASS-SUBCLASS	1	
LAMBERT	SON, DAVID A	1636	· · · · · · · · · · · · · · · · · · ·	536-024100	,	
Address form PTO/SB, "Fee Address" indic. PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unle- been previously submit (A) NAME OF ASSIG The Trustees in the Ci	ation (or "Fee Address" Indicated or more recent) attached. Use ID RESIDENCE DATA TO East an assignee is identified betted to the USPTO or is being NEE of Columbia Unty of New York attended to the USPTO or category or category or category or category or category.	Correspondence tion form e of a Customer will be PRINTED ON THE P. low, no assignee data wisubmitted under separate (B) RESIVEYSITY	ents OR, alternam (having as a ent) and the nar orneys or agents II be printed. ATENT (print of III appear on the cover. Completi IIDENCE: (CITY New YOT) on the patent); ment of Fee(s): check in the amount of the cover.	patent. Inclusion of as on of this form is NOT and STATE OR COU k, New York	of a single attorney or 2 ered patent d, no name 3 essignee data is only appropri f a substitute for filing an assummer. Output for other private generation or other private generation or other private generation.	ignment.
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This collection of informobtain or retain a benefiapplication. Confidential estimated to take 12 min completed application for case. Any comments or suggestions for reducing Patent and Trademark 22313-1450. DO NOT SEND TO: Commissioned	and Publication Fee (if require; a registered attorney or agrecords of the United States Pentation is required by 37 CFR it by the public which is to fity is governed by 35 U.S.C. I lutes to complete, including gorm to the USPTO. Time with the amount of time your this burden, should be sent Office, U.S. Department SEND FEES OR COMPLE of for Patents, Alexandria, Viruless it displays a valid OM	ent; or the assignee or of tent and Trademark Office 1.311. The information 1.22 and 37 CFR 1.14. This athering, preparing, and sequire to complete this to the Chief Information of Commerce, Alexand TED FORMS TO THIS ginia 22313-1450.	is required to to process) and is collection is submitting the the individual of form and/or Officer, U.S. dria, Virginia S. ADDRESS.	03/02/2004 01 FC:2501	FMETEKI2 00000065 096	21781 665.00 OP

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Lisa B. Kole

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United States Patent and Trademark Office

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AM 10: 21

NOTICE OF ALLOWANCE AND FEE(S) DUE

11/26/2003

EXAMINER

LAMBERTSON, DAVID A

ART UNIT

PAPER NUMBER

1636

DATE MAILED: 11/26/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/621.781	07/21/2000	Paul B. Fisher	61150/1PW/IML	4970

TITLE OF INVENTION: NUCLEIC ACIDS COMPRISING REGIONS OF THE RAT PEG-3 PROMOTER THAT DISPLAY ELEVATED EXPRESSION IN HUMAN CANCER CELLS AND USES THEREOF

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	02/26/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Docketed

For) 176 12004

2/26/04

PTOL-85 (Rev. 10/03) Approved for use through 04/30/2004.